

## IKANO FUNDS APPLICATION FORM - Financial Institutions

### Important

Please ensure that you have read and considered the Prospectus before completing this Application Form.

Shares in the Ikano Funds are issued once Citibank International plc (Luxembourg Branch) has received the completed original Application Form together with cleared monies and the required identification documents. The processing of your subscription may be otherwise delayed or refused.

If you have any questions regarding the completion of this Application Form, please contact Citibank International plc. (Luxembourg Branch).

### Return Address

#### IKANO FUNDS SICAV

C/O Citibank International plc (Luxembourg Branch)

Attn: Transfer Agency Department

Atrium Business Park

31 ZA Bourmicht

L-8070 Bertrange

Phone. +352 45 14 14 316

Fax nr. +352 45 14 14 332

Email: [ikanofunds@citi.com](mailto:ikanofunds@citi.com)

### Investor details

Please complete **all sections** of this Application Form clearly in block capitals, and read section 1. of the Terms & Conditions.

Agent code

Advisor code

### Investor Details

Company Name

Legal Form

Legale Activities

Date of Incorporation

Registered Office

Street name

Post code - Town

Country

### Correspondence Address *(if different from Register Office)*

Street name

Post code - Town

Country

### Contact person

Name

Telephone

E-mail

Fax number

Please mention if a different fax n° should be used for confirmations.

**Investor payment details for redemption:**

The following details must be given in order to ensure timely processing of payments made to you

Account holder's name: \_\_\_\_\_  
 Account no. / IBAN: \_\_\_\_\_  
 BIC code/Swift \_\_\_\_\_  
 Bank Name: \_\_\_\_\_  
 Bank Address: \_\_\_\_\_  
 \_\_\_\_\_

**Signatures**

I have read and understood the Declarations and the Terms & Conditions:

Date: \_\_\_ / \_\_\_ / \_\_\_\_\_ (dd/mm/yyyy) Place: \_\_\_\_\_

**Authorised signatures**

Signature 1 \_\_\_\_\_

Signature 2 \_\_\_\_\_

All shareholders must sign unless an acceptable power of attorney or other written authority is provided.

A corporation must affix its common seal and/ or sign under the hand of a duly authorised official (evidence of authority must be submitted with this form).

**LIST OF LEGAL REQUIRED DOCUMENTS - (Non Exhaustive)**

- Original Application Form duly completed and signed
- A duly certified current and dated list on company letterhead of all authorised signatories or officially published booklet of authorised signatories (there is no certification required for the booklet)
- Evidence of regulation via web page of the Supervisory Authority
- Duly authorised list of all persons who will operate the account
- Certificate of Incorporation - Proof of registration

# Declarations

- I / We understand that this registration is subject to the Terms & Conditions of IKANO FUNDS, including those stipulated below, as set out in the Articles of Incorporation and the current Prospectus of IKANO FUNDS, and declare that I / We specially accept such provisions by signing hereunder.
- I / We have attached the documents required in accordance with clauses 1.a of the Terms & Conditions.
- I / We declare that if we are not the ultimate economic beneficiary of the shares subscribed I / we have provided all the relevant identification documentation for the ultimate beneficiary as required under clause 1.a of the Terms & Conditions.
- I / We declare that I am / we are over 18 years of age and have full capacity to subscribe, hold and / or otherwise deal in shares.
- All the information provided on this Application Form is correct; pursuant to the current applicable legislation and regulation related to the prevention of money laundering. I / We furthermore declare that the money invested does not derive from illegal activities such as described in money laundering prevention rules.

## Terms & Conditions

Citibank International plc. (Luxembourg Branch) reserves the right to reject either in whole or in part any registration for Shares which is not completed in full and supported by all documents and information requested or for any other reason at its absolute discretion.

### 1. Investor details

#### 1.a. Proof of identity and source of funds

Money laundering prevention rules require that the identity of investors be verified. If the investor is not the ultimate economic beneficiary of the funds invested, the identification documentation as specified below of the ultimate economic beneficiary must be provided.

Please provide the following documents attached with this Application Form :

For a private investor

Copy of your passport or your identity card duly certified

For a company / legal entity

Original or certified copy of the Articles and Memorandum of Incorporation, recent extract from the Trade and Companies Register or other appropriate constitutive documents duly certified

Certification

Certification should be provided by an embassy / consulate, notary, trade registry, court of competent jurisdiction or any other public authority empowered to certify documents.

The stamp of the certifying institution must be clearly affixed on the copy of the documents. In all cases, Citibank International plc (Luxembourg Branch) reserves the right to request additional information and documents.

#### 1.b. Joint Accounts.

(I) Authorisations

- Investors who have indicated by ticking the "Joint" signatory powers box hereby authorise Citibank International plc. (Luxembourg Branch) to act and rely upon only the signed or purportedly signed instructions of all of the Investors without liability in respect of any transfer, payment or other act made, done or omitted to be done in respect of their account in accordance with such instructions.
- Where no preference is indicated, Joint signatory powers will apply.
- Investors who have indicated by ticking the "Individual" signatory powers box hereby authorise Citibank International plc. (Luxembourg Branch) to act and rely upon the signed or purportedly signed instructions of any one of the Investors without liability in respect of any transfer, payment or other act made, done or omitted to be done in respect of their account, in accordance with such instructions in relation to their account, signed by the survivor or survivors as long as these latter can show an evidence that inheritors of the deceased persons have duly authorised the survivor(s) to do so.
- Where the individual signatory powers apply, investors further confirm that, upon the death of any of them, this authorisation will continue in force and Citibank International plc. (Luxembourg Branch) may rely and act, without liability in respect of any transfer, payment or other act made, done or omitted to be done in respect of their account, in accordance with such instructions in relation to their account, signed by the survivor or survivors as long as these latter can show an evidence that inheritors of the deceased persons have duly authorised the survivor(s) to do so.
- Investors confirm that the applicable authorisation relates to their account which shall include all and any future transactions.
- Investors agree that the applicable authorisation will remain in force until notice in writing of its termination or replacement is received by Citibank Interna-

tional plc. (Luxembourg Branch).

- Authorisations shall be construed in accordance with and governed by the laws of Luxembourg.

(II) Statements and Payments

- Unless otherwise advised in writing Citibank International plc. (Luxembourg Branch) will send all publications, contract notes and statements only to the first named Investor (Investor 1) and will direct all payments exclusively to such Investor.

### 2. Restrictions on sale

The Shares in the Funds are not available for offer or subscription to or for the benefit of "US Persons", as defined in the Prospectus.

It is the responsibility of any prospective applicant to inform himself as to the relevant legal, tax and exchange control regulations (if any) in force in his country of citizenship, residence or domicile.

### 3. Confidentiality and Personal data Protection

The investor(s) hereby request, and expressly consent to the transmission by Citibank International plc. (Luxembourg Branch) of any personal data available on the share register of IKANO FUNDS to be made available to IKANO Fund Management S.A. and any distributors as well as any entity affiliated or related to Citibank International plc. (Luxembourg Branch).

The investor(s) acknowledge that Citibank International plc. (Luxembourg Branch) has taken reasonable measures to ensure confidentiality of the data so transmitted but that due to the fact that the information may be transferred electronically and may be made available outside of Luxembourg, the same level of confidentiality and the same level of protection in relation for data protection regulation, as currently in force in Luxembourg may not be guaranteed while the information is kept abroad.

The investor(s) hereby expressly recognise that Citibank International plc. (Luxembourg Branch) or any of the relevant entities will have no liability with respect to any unauthorised third party receiving knowledge of or having access to such personal data of mine, except in the case of gross negligence or serious misconduct by Citibank International plc. (Luxembourg Branch), any of its employees or officers.

### 4. Fax authority

Citibank International plc. (Luxembourg Branch) is authorised to accept and execute any instructions received by fax, the applicant assuming all risks, eg. those arising from any error in communication or comprehension as well as those arising from fraud, resulting from the use of this communication mean and relieving Citibank International plc. (Luxembourg Branch) from any and all responsibility in this respect.

This fax authority does not apply to notifications of change of name and notifications of death, stock transfers, deeds of pledge and the use of powers of attorney where original documentation must be sent by post to Citibank International plc. (Luxembourg Branch).

### 5. Telephone

The investor specifically empowers Citibank International plc. (Luxembourg Branch) to record his telephone conversations with Citibank International plc. (Luxembourg Branch). The records may be used in court or other legal proceedings with the same value in evidence as a written document.

### 6. Severability

If and to the extent that any of the terms or provisions of these Terms & Conditions shall be determined to be invalid, unlawful or unenforceable, then such term or provision shall to that extent be deemed not to form part of these Terms & Conditions and accordingly shall be deleted from the remaining terms and provisions of these Terms & Conditions and replaced by provisions having an equivalent effect and that are legally binding. Should it be the case, these Terms & Conditions shall continue to be valid, subsisting and enforceable between the parties to the maximum extent lawfully possible, together with the terms and conditions of the Prospectus of IKANO FUNDS and of its Articles of Incorporation which are deemed incorporated to the present Terms & Conditions by way of reference.

### 7. Applicable Law

The Laws of the Grand Duchy of Luxembourg shall govern the validity and construction of these Terms & Conditions and the parties agree to be bound by the exclusive jurisdiction of the courts of Luxembourg City, Grand Duchy of Luxembourg.